


SOLICITATION, OFFER, AND AWARD <i>(Construction, Alteration, or Repair)</i>	1. SOLICITATION NUMBER DACA67-02-R-0222	2. TYPE OF SOLICITATION <input type="checkbox"/> SEALED BID (IFB) <input checked="" type="checkbox"/> NEGOTIATED (RFP)	3. DATE ISSUED 31 July 2002	PAGE OF PAGES 1
	IMPORTANT - The "offer" section on the reverse must be fully completed by the offeror.			
4. CONTRACT NUMBER DACA67-02-C-0217	5. REQUISITION/PURCHASE REQUEST NUMBER W68MD9-2022-1171	6. PROJECT NUMBER		
7. ISSUED BY Seattle District, Corps of Engineers ATTN: CENWS-CT-CB-MU P.O. BOX 3755 Seattle, WA 98124-3755	CODE W68MD9 Tel: 206-764-6853 Fax: 206-764-6817	8. ADDRESS OFFER TO Seattle District Corp of Engineers PO Box 3755 ATTN: CENWS-CT-CB-MU Seattle, WA 98134 HAND CARRY: Peston Conference Room 4735 East Marginal Way South Seattle, WA 98134-2329 BID OPENING ROOM: Peston Conference Room		
9. FOR INFORMATION CALL 	A. NAME See Information Page inside Front Cover	B. TELEPHONE NUMBER (Include area code) (NO COLLECT CALLS) See Information Page inside Front Cover		

SOLICITATION

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE WORK DESCRIBED IN THESE DOCUMENTS (Title, identifying number, date):

Furnish all labor, materials, labor and equipment and perform all work for Malmstrom Child Development Center in accordance with the attached Contract Clauses, Special Clauses, Technical Specification and Drawings.

- Solicitation No.: DACA67-02-R-0222 dated 31 July 2002 with 7 Amendments.
- Wage Determination No.: MT020001 with 1 modification thereto.
- Wage Determination No.: MT020005 with 1 modification thereto.
- Drawings as listed in Section 00800.
- The contractor's subcontracting plan is incorporated after the pricing schedule.

11. The Contractor shall begin performance within 10 calendar days and complete it within _____ calendar days after receiving

☐ award, ☒ notice to proceed. This performance period is ☒ mandatory, ☐ negotiable. (See Paragraph SC-1, 00800 .)

12A. THE CONTRACTOR MUST FURNISH ANY REQUIRED PERFORMANCE PAYMENT BONDS?
(If "YES," indicate within how many calendar days after award in Item 12B.)

☒ YES ☐ NO

12B. CALENDAR DAYS

10

13. ADDITIONAL SOLICITATION REQUIREMENTS:

- Sealed offers in original and _____ copies to perform the work required are due at the place specified in Item 8 by 3:00 p.m. (hour) local time 6 Sept. 2002 (date). If this is a sealed bid solicitation, offers will be publicly opened at that time. Sealed envelope containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.
- An offer guarantee ☒ is, ☐ is not required.
- All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.
- Offers providing less than 90 calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.

14. NAME AND ADDRESS OF OFFEROR (Include ZIP Code)

SWANK ENTERPRISES
PO BOX 568
615 PONDERA AVE.
VALIER, MONTANA 59486

Tax ID No: 81-0302197

DUNS No: 04-521-5795

eMail: jlake@swankenterprises.com

CODE 1EZ86

FACILITY CODE 15250593K

15. TELEPHONE NUMBER (Include area code)

(406) 279-3241

Fax No.:

(406) 279-3321

16. REMITTANCE ADDRESS (Include only if different than Item 14)

17. The offeror agrees to perform the work required at the prices specified below in strict accordance with the terms of this solicitation, if this offer is accepted by the Government in writing within _____ calendar days after the date offers are due. (Insert any number equal or greater than the minimum requirement stated in 13D. Failure to insert any number means the offeror accepts the minimum in Item 13D.)

AMOUNTS

See Page 00010-5

18. The offeror agrees to furnish any required performance and payment bonds.

19. ACKNOWLEDGEMENT OF AMENDMENTS

(The offeror acknowledges receipt of amendments to the solicitation - give number and date of each)

AMENDMENT NO.	1	2	3	4	5	6	7			
DATE	8/01/02	8/02/02	8/08/02	8/20/02	8/22/02	8/26/02	8/26/02			

20A. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or print)

JIM LAKE, VICE-PRESIDENT

20B. SIGNATURE

Jim Lake

20C. OFFER DATE

9/6/02

AWARD (To be completed by Government)

21. ITEMS ACCEPTED

Base Items: 0001, 0002, 0003, 0004, and 0005

Optional Items: 0006, 0007, and 0008

22. AMOUNT

\$4,626,000.00

23. ACCOUNTING AND APPROPRIATION DATA

5723300 08-8802 BAAN02-BYA 32100000000 S35026

24. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)

ITEM

26

25. OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO

☐ 10 U.S.C. 2304(c) ()☐ 41 U.S.C. 253(c) ()

26. ADMINISTERED BY

CODE

Fairchild Resident Office
PO Box 1929
Airway Heights, WA 99001-1929

27. PAYMENT WILL BE MADE BY

US Army Corps of Engineers Finance Center
CEFC-AO-P
5722 Integrity Drive
Millington, TN 38054-500

CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE

☐ 28. NEGOTIATED AGREEMENT (Contractor is required to sign this

document and return _____ copies to the issuing office.) Contractor agrees to furnish and deliver all items or perform all work requirements identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications incorporated by reference in or attached to this contract.

☐ 29. AWARD. (Contractor is not required to sign this document.) You

offer on this solicitation is hereby accepted as to the items listed. This award consummates the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.

30A. NAME AND TITLE OF CONTRACTOR OR PERSON AUTHORIZED TO SIGN (Type or print)

31A. NAME OF CONTRACTING OFFICER (Type or print)

Susan K. Sherrell
Contracting Officer

30B. SIGNATURE

30C. DATE

31B. UNITED STATES OF AMERICA

BY

Susan K. Sherrell

31C. AWARD DATE

18 Sept 02

IF THE CONTRACTOR IS A CORPORATION OR PARTNERSHIP, THE APPLICABLE PORTION OF THE FORM LISTED BELOW MUST BE COMPLETED. IN THE ALTERNATIVE, OTHER EVIDENCE MUST BE SUBMITTED TO SUBSTANTIATE THE AUTHORITY OF THE PERSON SIGNING THE CONTRACT. IF A CORPORATION, **THE SAME OFFICER SHALL NOT EXECUTE BOTH THE CONTRACT AND THE CERTIFICATE.**

CORPORATE CERTIFICATE

I, RENE SWANK, certify that I am the CORPORATE Secretary of the Corporation named as Contractor herein; that JIM LAKE, who signed this contract on behalf of the Contractor was then VICE-PRESIDENT of said corporation; that said contract was duly signed for and on behalf of said corporation by authority of its governing body and is within the scope of its corporate powers.

Rene Swank (CORPORATE
(Secretary) SEAL)

AUTHORITY TO BIND PARTNERSHIP

This is to certify that the names, signatures and Social Security Numbers of all partners are listed below and that the person signing the contract has authority actually to bind the partnership pursuant to its partnership agreements. Each of the partners individually has full authority to enter into and execute contractual instruments on behalf of said partnership with the United States of America, except as follows: (state "none" or describe limitations, if any)

This authority shall remain in full force and effect until such time as the revocation of authority by any cause whatsoever has been furnished in writing to, and acknowledged by, the Contracting Officer.

(Names, Signatures and Social Security Numbers of all Partners)

NAME	SIGNATURE	SOCIAL SECURITY NO.
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

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SCHEDULE

<u>Item No.</u>	<u>Description of Item</u>	<u>Quantity</u>	<u>Unit</u>	<u>Unit Price</u>	<u>Amount</u>
BASE ITEMS					
0001	All Work for the Child Development Center Within the Line 1.5 Meters Outside the Building Wall Lines, except for Items 0002 through 0011	1	JOB	L.S.	\$ <u>3,362,000</u>
0002	All Site Work and Utility Work Beyond the Line 1.5 Meters Outside the Child Development Center Building Wall Lines, except for Items 0003 through 0011	1	JOB	L.S.	\$ <u>772,000</u>
0003	All Work for As-Built Drawings in Electronic Format as Specified, from Preparation to Final Submittal for Base Items and any Optional Items Exercised	1	JOB	L.S.	\$20,000
0004	All Work for O&M Manuals, as Specified, from Preparation to Final Submittal for Base Items and any Optional Items Exercised	1	JOB	L.S.	\$35,000
0005	All Work for Form1354 Checklist and Equipment-in-Place List, as Specified, from Preparation to Final Submittal for Base Items and any Optional Items Exercised	1	JOB	L.S.	\$15,000
TOTAL BASE ITEMS					\$ <u>4,204,000</u>
OPTIONAL ITEMS					
0006	All Work for the Infant and Pre Toddler Rooms Identified as Option Item on the Drawings	1	JOB	L.S.	\$ <u>199,000</u>
0007	All Work for the Enrichment Room Identified as Option Item on the Drawings for the Child Development Center and as Specified Herein.	1	JOB	L.S.	\$ <u>187,000</u>
0008	All Work for the Infant and Exterior Storage Rooms Identified as Option Item on the Drawings	1	JOB	L.S.	\$ <u>36,000</u>
0009	All Work for the Catwalk System Identified as Option Item on the Drawings	1	JOB	L.S.	\$ <u>125,000</u>
0010	All Work for the Hydronic Heating System Identified as Option Item on the Drawings	1	JOB	L.S.	\$ <u>30,000</u>

<u>Item No.</u>	<u>Description of Item</u>	<u>Quantity</u>	<u>Unit</u>	<u>Unit Price</u>	<u>Amount</u>
0011	All Work for the Landscaping Identified as Option Item on the Drawings	1	JOB	L.S.	\$ <u>55,000</u>
TOTAL OPTIONAL ITEMS					\$ <u>632,000</u>
TOTAL BASE AND OPTIONAL ITEMS					\$ <u>4,836,000</u>

NOTE: 1. The offeror shall not revise the dollar amounts established for Schedule Items 0003, 0004 and 0005,

2. No partial or total payment will be made for Items 0003, 0004 and 0005 until the As-Built Drawings, the O&M Manuals, and the 1354 Data/ Installed Equipment List are fully approved (A or B action).

**SWANK ENTERPRISES SMALL BUSINESS SUBCONTRACTING PLAN FOR
SMALL BUSINESS, HUBZONE SMALL BUSINESS,
SMALL DISADVANTAGED BUSINESS, & WOMEN-OWNED BUSINESS**

September 6, 2002

Contractor: Swank Enterprises
PO Box 568
Valier, MT 59486
Phone No: (406) 279-3241

Project Title: Malmstrom Child Development Center, MAFB, Great Falls, Montana

Solicitation No: DACA67-02-R-0222

1. In accordance with the contract clauses at 52.219-8 and 52.219-9, Swank Enterprises submits the following Subcontracting Plan for Small, Veteran-owned Small, Service-disabled veteran-owned Small, HUBZone Small, Small Disadvantaged, and Women-owned Business Concerns.

2. The following are corresponding dollar values for percentages cited in paragraph 3 for the base proposal only:

- a. Total contract amount \$4,204,000.
- b. Total dollars planned to be subcontracted \$2,892,000.
- c. Total dollars planned to be subcontracted to small business concerns \$2,458,200.
- d. Total dollars planned to be subcontracted to veteran-owned small business \$86,760.
- e. Total dollars planned to be subcontracted to service-disabled veteran-owned small business concerns \$28,920.
- f. Total dollars planned to be subcontracted to HUBZone small business concerns \$140,000.
- g. Total dollars planned to be subcontracted to small disadvantaged business concerns \$289,200.
- h. Total dollars planned to be subcontracted to small woman-owned business concern \$268,085.

3. The following percentage goals are applicable to the contract awarded under the solicitation cited above.

- a. **Small Business Concerns: 85%** of total planned subcontracting dollars under this contract will go to subcontractors who are small business concerns including 3c through 3h.
- b. **Small Veteran-Owned Business Concerns: 3. %** of the total planned subcontracting dollars under this contract is our goal to go to subcontractors who are veteran-owned business.

- c. **Small Service-Disabled Veteran-Owned Business Concerns: 1.%** of the total planned subcontracting dollars under this contract is our goal to go to subcontractors who are veteran-owned business.
 - d. **Small HUBZone Business Concerns: 4.8%** of total planned subcontracting dollars under this contract will go to HUBZone small business contractors at this time.
 - e. **Small Disadvantaged Business Concerns: 10.%** of total planned subcontracting dollars under this contract is our goal to go to subcontractors who are small disadvantaged individuals, at this time we have actual bids on board for 7.2% of the base bid. However if the options of this bid are taken, our goal will be exceeded! Note: This percentage **does not** include Women-owned businesses unless they qualify as disadvantaged.
 - f. **Small Woman-Owned Business Concerns: 9.3%** of total planned subcontracting dollars under this contract will go to subcontractors who are small woman-owned businesses.
4. The principal items or areas we will subcontract under this contract are: Division 2; Division 3 supply items; Division 4; Division 5; Division 7; Division 8; Division 9; Division 10; Division 11; Division 12; Division 13; Division 15 complete; and Division 16, complete.
- a. Of the items or areas stated in 4; the following are planned to be subcontracted to Small Businesses: Division 2, Ron Hall Sprinklers, Williamson Landscaping, Forde Nursery; Division 4, Cooper Masonry; Division 5, Teton Steel, Aspen Grove Distributing; Division 7, Van Smith Enterprises; Division 8, Anderson Steel; Division 9, Pierce Flooring, Inc, Contract Flooring, Boettcher Paint; Division 10, Dupree Specialties, DPA; Division 11, J & V Restaurant Supply, Dupree Specialties; Division 12, Moderne Cabinet; Division 13, Malta Plumbing & Heating; Division 15, Tri County Mechanical; and Division 16, Liberty Electric.
 - b. Of the items or areas stated in 4.a; the following are to be subcontracted to Veteran Owned Small Business: At this time we have not received any bids from a veteran-owned small business, however we have solicited such qualified businesses and did not receive any response to our request. So at this time this is a goal that we cannot meet, but as options are available we will solicit more businesses of this type to try to fulfill this goal.
 - c. Of the items or areas stated in 4.a; the following are to be subcontracted to Veteran-owned Service-disabled Small Business: At this time we have not received any bids from a veteran-owned small business, however we have solicited some qualified businesses and did not receive any response to our request. So at this time this is a goal that we cannot meet, but as options are available we will solicit businesses of this type to try to fulfill this goal.
 - d. Of the items or areas stated in 4.a; the following are planned to be subcontracted to HUBZone Small Businesses: Malta Plumbing & Heating, some of division 13.
 - e. Of the items or areas stated in 4.a; the following are planned to be subcontracted to Small Disadvantaged Businesses: Aspen Grove Distributing, supply of Division 05; At this time we have not received enough base bids to achieve the full 10% goal, however we have obtained \$208,085.00 which is 7.2%. As options are available we will solicit business of this type to try to complete this goal.
 - f. Of the items or areas stated in 4.a; the following are planned to be subcontracted to Small Women-Owned Businesses: Aspen Grove Distributing, some of Division 05, and Anderson Steel, some of Division 08.

5. The methods used to develop the subcontracting goals in paragraph 2 were done primarily by actual bids/quotes received, which we plan to use. Another method used is also historical ability. At present the amounts listed under Items 2d and 2e have not been currently met by bids/quotes received, Swank Enterprises has a good history of being able to procure bids and meet higher percentage goals with qualifying types of businesses, and we will do our best to do so.

6. The following information describes the methods used to identify potential sources for solicitation purposes:

- a. Advertised in Builders Exchange bulletins in Billings, Great Falls, Northwest Montana, and Missoula.
- b. Accessed SBA's ProNet system, to get list of certified disadvantaged, veteran-owned, service-disabled veteran-owned small, and HUBZone firms.
- c. We used internal lists to solicit subcontractors and suppliers. These lists were developed from previous bids, information attained at small business trade fairs, using the yellow pages for local businesses and by including any firm that has contacted us and asked to be added.

7. Indirect costs were not used in establishing subcontracting goals.

8. The following individual will administer Swank Enterprises' Subcontracting Program:

Nina Rapkoch, Small Business Program Coordinator
PO Box 568, Valier, Montana 59486
Phone No: (406) 279-3241 Fax No: (406) 279-3321

Nina Rapkoch's specific duties with regard to the conduct of Swank Enterprises' Subcontracting Plan will include, but will not be limited to, the following:

- a. Developing and maintaining bidders lists of small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business concerns using sources such as the Small Business Administration's ProNet (<http://pro-net.sba.gov/>), MT Department of Transportation (<http://www.mdt.state.mt.us/scripts/ctrct/ctrctxt.pl?rptname=DBE+Directory>) MBE/DBE Directory, Economic Development Centers, US Department of Commerce, National Center for American Indian Enterprise Development, and Local Minority Business Development Centers.
- b. Assuring the inclusion of small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business concerns in all solicitations for products or services which they are capable of providing; and ensuring that all solicitations are structured to permit the maximum possible participation by small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business concerns.
- c. Establishing and maintaining records of all solicitations and subcontract awards to ensure that the members of the firm who review bidders proposals documents their reasons for selecting or not selecting a bid submitted by a small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, or women-owned small business concern, and monitoring the firm's progress towards achievement of its percentage goals.
- d. Preparing and submitting the Subcontracting Report for Individual Contracts (SF 294) and the Summary Subcontract Report (SF 295) in accordance with instructions provided, and coordinating and preparing for all compliance reviews by Federal agencies.
- e. Attendance as needed to update our Small Business Program at DOD sponsored training programs in

order to develop guidance and training to firm personnel on the policy of the federal government to aid, assist, and counsel small business under this and other government contracts.

- f. Conducting or arranging for all other activities necessary to further the intent and attainment of the goals in the Plan to include motivational training of the firm's purchasing personnel, attendance at workshops, seminars and trade fairs conducted by or on behalf of small business and/or veteran-owned small and/or service-disabled veteran-owned small and/or HUBZone small and/or small disadvantaged and/or women-owned small business concerns; and general cooperation with members of the small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged and women-owned small business concerns or their representatives. Specifically, we have participated in a small business workshop, and distributed brochures as outreach to small businesses. We have contacted some of our current minority businesses, and businesses that may qualify as HUBZone, and mailed certification packets to them, and encouraged them to become certified through SBA, and get-on pro-net.

8. The following steps will be taken to ensure that small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business concerns receive notice of and have an equitable opportunity to compete for intended awards of subcontracts and/or purchase orders for the products and/or services describe in paragraph 4 above:

- a. Sources will be requested through SBA's ProNet system, business development organizations, minority and small trade associations, and at small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business procurement conferences; sources will be contacted; and bidding materials will be provided to all responding parties expressing an interest.
- b. Internally, motivational training will be conducted to guide and encourage purchasing personnel; source lists and guides to small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business concerns will be maintained and utilized by purchasing personnel while soliciting subcontracts and purchase orders; activities will be monitored to ensure sufficient time is allowed for interested bidders to prepare their proposals and to evaluate continuing compliance with the Subcontracting Plan.

9. Swank Enterprises agrees that the clause entitled "Utilization of Small Business Concerns" will be included in all subcontracts that offer further subcontracting opportunities. All subcontractors, except small business concerns, who receive subcontracts in excess of \$1,000,000, will be required to adopt a plan similar to this one, with the requirements of this clause. Such plans will be reviewed to assure that all minimum requirements of an acceptable subcontracting plan have been satisfied.

The acceptability of percentage goals shall be determined on a case-by-case basis depending on the supplies/services involved, the availability of potential small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned subcontractors, and prior experience. Once approved and implemented, plans will be monitored through the submission of periodic reports, or as time and availability of funds permit, periodic visits to subcontractors facilities to review applicable records and subcontracting program progress.

10. Swank Enterprises agrees to submit periodic reports and cooperate in any studies or surveys as may be required by the Contracting Agency or Small Business Administration in order to determine the extent of compliance by the offeror with the subcontracting plan and with the clause entitled "Utilization of Small Business Concerns" contained in the contract. Swank Enterprises will submit Standard Form 294 and SF 295,

and ensure any qualified subcontractors will do the same.

11. Swank Enterprises agrees to maintain at least the following types of records to document compliance with the Subcontracting Plan:

- a. The names of all organizations, agencies, and associations contacted for small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business sources, along with records of attendance at conference, seminars, and trade fairs where additional sources were developed.
- b. Source lists, guides, and other data identifying small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business subcontractors and vendors.
- c. Records on all subcontract solicitations resulting in an award of more than \$100,000 on a contract-by-contract basis, indicating (1) whether small business concerns were solicited, and if not, why not; (2) whether veteran-owned small business were solicited, and if not, why not; (3) whether service-disabled veteran-owned small business were solicited, and if not, why not; (4) whether HUBZone small business were solicited, and if not, why not; (5) whether small disadvantaged business concerns were solicited, and if not, why not; (6) whether small women-owned business concerns were solicited, and if not, why not; and (7) reasons for the failure of solicited small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged or women-owned small business concerns to receive a subcontract award.
- d. Records of all subcontract award data to include subcontractor's name and address, to be kept on a contract-by-contract basis.
- e. Minutes of internal motivational meetings held for guidance and encouragement of purchasing personnel, and records of all monitoring activities performed for compliance evaluation.
- f. Copies of SF 294 and SF 295 showing date and place of filing and copies of all other reports or results of reviews conducted by the contracting agency or other interested agencies of the Federal government to monitor our compliance with this Subcontracting Plan.

12. Swank Enterprises will submit a SF 295, Summary Subcontract Report, on Corps of Engineers projects only. The SF 295 shall be completed and distributed in accordance with the Corps of Engineers Supplemental Instructions. Swank Enterprises will not report Corps of Engineers projects through any other Agency unless authorized by the Contracting Officer.

13. Swank Enterprises has had representatives attend small business conferences as outreach, to help educate and to acquire new small (all definitions) business contacts to add to our solicitation lists. We have contacted local government marketing agencies (High Plains Development Authority in Great Falls, MT, and Big Sky Economic Development Authority in Billings, MT) and our local SBA office in Helena, MT so that they can pass our company's name on to any small business they are mentoring that is in contracting. We then assist these businesses with questions they may have, and try to be helpful in making them potential and/or competitive sources in the industry.

14. In closing, Swank Enterprises states that it will be the policy of Swank Enterprises to afford every practicable opportunity for small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business concerns to participate in contracts awarded to Swank Enterprises by the Federal Government, to ensure that equitable opportunity is provided to small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and

women-owned small business concerns to compete for award of subcontractors and purchase orders, and to diligently pursue the achievement of our goals of participation by small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small businesses in the dollars available for subcontract/purchase order awards under this contract.

BY: Jim Lake
 Jim Lake, Vice President
 Swank Enterprises

DATE: 9-05-02

	Dollars	Percentage
1. Option #0006 total:	\$ <u>199,000.00</u>	<u>100.00%</u>
2. Total to be subcontracted to all types of businesses:	\$ <u>130,000.00</u>	<u>65.3%</u>
a. Subcontracted to Small Business (Including b, c, & d. below):	\$ <u>117,000.00</u>	<u>90.0%</u>
b. Subcontracted to Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
c. Subcontracted to Service-disabled Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
d. Subcontracted to HUBZone Small Businesses:	\$ <u>7,200.00</u>	<u>5.5%</u>
e. Subcontracted to Small Disadvantaged Businesses:	\$ <u>21,875.00</u>	<u>16.8%</u>
f. Subcontracted to Women-Owned Small Businesses:	\$ <u>21,875.00</u>	<u>16.8%</u>

	Dollars	Percentage
1. Option #0007 total:	\$ <u>187,000.00</u>	<u>100.00%</u>
2. Total to be subcontracted to all types of businesses:	\$ <u>108,000.00</u>	<u>57.8%</u>

a. Subcontracted to Small Business (Including b, c, & d. below):	\$ <u>97,200.00</u>	<u>90.0%</u>
b. Subcontracted to Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
c. Subcontracted to Service-disabled Veteran- owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
d. Subcontracted to HUBZone Small Businesses:	\$ <u>5,200.00</u>	<u>4.8%</u>
e. Subcontracted to Small Disadvantaged Businesses:	\$ <u>18,565.00</u>	<u>17.2%</u>
f. Subcontracted to Women- Owned Small Businesses:	\$ <u>18,565.00</u>	<u>17.2%</u>

	Dollars	Percentage
1. Option #0008 total:	\$ <u>36,000.00</u>	<u>100.00%</u>
2. Total to be subcontracted to all types of businesses:	\$ <u>24,000.00</u>	<u>66.7%</u>
a. Subcontracted to Small Business (Including b, c, & d. below):	\$ <u>21,600.00</u>	<u>90.0%</u>
b. Subcontracted to Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
c. Subcontracted to Service-disabled Veteran- owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
d. Subcontracted to HUBZone Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
e. Subcontracted to Small Disadvantaged Businesses:	\$ <u>.00</u>	<u>0.0%</u>
f. Subcontracted to Women- Owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>

	Dollars	Percentage
1. Option #0009 total:	\$ <u>125,000.00</u>	<u>100.00%</u>
2. Total to be subcontracted to all types of businesses:	\$ <u>113,000.00</u>	<u>90.4%</u>
a. Subcontracted to Small Business (Including b, c, & d. below):	\$ <u>101,700.00</u>	<u>90.0%</u>
b. Subcontracted to Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
c. Subcontracted to Service-disabled Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
d. Subcontracted to HUBZone Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
e. Subcontracted to Small Disadvantaged Businesses:	\$ <u>70,000.00</u>	<u>61.9%</u>
f. Subcontracted to Women-Owned Small Businesses:	\$ <u>70,000.00</u>	<u>61.9%</u>

	Dollars	Percentage
1. Option #00010 total:	\$ <u>30,000.00</u>	<u>100.00%</u>
2. Total to be subcontracted to all types of businesses:	\$ <u>28,000.00</u>	<u>93.3%</u>
a. Subcontracted to Small Business (Including b, c, & d. below):	\$ <u>25,200.00</u>	<u>90.0%</u>
b. Subcontracted to Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
c. Subcontracted to Service-disabled Veteran-owned Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
d. Subcontracted to HUBZone Small Businesses:	\$ <u>.00</u>	<u>0.0%</u>
e. Subcontracted to Small Disadvantaged Businesses:	\$ <u>.00</u>	<u>0.0%</u>

f. Subcontracted to Women-
Owned Small Businesses:

\$.00

 0.0%

Dollars

Percentage

1. Option #00011 total:

\$ 55,000.00

100.00%

2. Total to be subcontracted to
all types of businesses:

\$ 50,000.00

90.9%

a. Subcontracted to Small Business
(Including b, c, & d. below):

\$ 50,000.00

100.0%

b. Subcontracted to Veteran-owned
Small Businesses:

\$.00

 0.0%

c. Subcontracted to Service-disabled Veteran-
owned Small Businesses:

\$.00

 0.0%

d. Subcontracted to HUBZone
Small Businesses:

\$.00

 0.0%

e. Subcontracted to Small
Disadvantaged Businesses:

\$.00

 0.0%

f. Subcontracted to Women-
Owned Small Businesses:

\$.00

 0.0%

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